ATTORNEY'S DOCKET NO: 040509

U.S. DEPARTMENT OF CO	MMERCE, PATENT AND TRADEMARK OFFICE	DATE: December 23, 2004
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION N PCT/JP2003/008097	O.: INTERNATIONAL FILING DATE: June 26, 2003	PRIORITY DATE CLAIMED: June 27, 2002
TITLE OF INVENTION: SUBSTRATE TREATING APPARATUS AND METHOD FOR MANUFACTURING SEMICONDUCTOR DEVICE		
APPLICANT(S) FOR DO/EO/US: Takashi OZAKI and Kenichi SUZAKI		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. XX This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.		
2 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.		
3. XX This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).		
4. XX A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.		
5. XX A copy of the International Application as filed (35 U.S.C. 371(c)(2)):		
a is transmitted herewith (required only if not transmitted by the International Bureau). b. XX has been transmitted by the International Bureau. c is not required, as the application was filed in the United States Receiving Office (RO/US)		
6. XX A translation of the International Application into English (35 U.S.C. 371(c)(2)).		
7. XX Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
 a are transmitted herewith (required only if not transmitted by the International Bureau). b have been transmitted by the International Bureau. 		
c have not been made; however, the time limit for making such amendments has NOT expired. d. XX have not been made and will not be made.		
8 A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
•10 A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
11. 🕱 An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with PTO-1449 and International Search Report.		
12 a. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. XX b. ASSIGNEE(s) NAME(s) AND ADDRESS HITACHI KOKUSAI ELECTRIC INC., Tokyo, Japan Please publish the assignee data with the application.		
13 A FIRST preliminary amendment A SECOND or SUBSEQUENT preliminary amendment		
14 A substitute specification.		
15 A change of power of attorney and/or address letter.		
16. XX Other items or information: 12 Sheets of Drawings.		

JT12 Rec'd POT/PTO 23 DEC 2004

ATTORNEY'S DOCKET NO:040509 U.S. APPLICATION NO NTERNATIONAL APPLICATION NO. DATE: PCT/JP2003/008097 (if known) **December 23, 2004** PTO USE ONLY 17. X The following fees are submitted: CALCULATIONS Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO:\$300.00 \$ 300.00 \$ 500.00 National Stage Search Fee: \$500.00 \$ 200.00 National Stage Examination Fee: \$200.00 \$1000.00 **ENTER APPROPRIATE NATIONAL STAGE FEE AMOUNT =** Surcharge of \$130.00 for furnishing the oath or declaration later than ___ \$ 130.00 xx 30 months from the earliest claimed priority date (37 DVR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE **TOTAL** X \$ 50.00 18 - 20 = INDEPENDENT 6 - 3 =3 X \$ 200.00 \$ 600.00 Multiple dependent claims(s) (if applicable) + \$360.00 TOTAL OF ABOVE CALCULATIONS = \$ 1730.00 Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, SUBTOTAL = \$1730.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 1730.00 TOTAL FEES ENCLOSED = Amount to be: refunded charged

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U.S. APPLICATION NO. (if known) 5 1 7 7 6 PTERNATIONAL APPLICATION NO. DT/JP2003/008097 DATE: December 23, 2004 A check in the amount of \$1730.00 to cover the above fees is enclosed. (\$300.00 for basic fee, \$500.00 for search fee, \$200.00 for examination fee, \$600.00 for extra independent claims and \$130.00 for the late declaration.) (This paper is filed in triplicate) Please charge my Deposit Account No. 01-2340 in the amount of \$___ to cover the above fees. (A duplicate copy of this sheet The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to c. <u>XX</u> Deposit Account No. 01-2340. Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) NOTE: must be filed to request that the application be restored to pending status. Send All Correspondence To: Armstrong, Kratz, Quintos, Hanson & Brooks, LLP Suite 1000,1725 K Street, N.W. 23850 Washington, D. C. 20006 PATENT TRADEMARK OFFICE Tel: (202) 659-2930 Fax: (202) 887-0357 Typed or Printed Name William L. Brooks Reg. No. 34,129 Wills Broke Date: December 23, 2004 Signature

WLB/jaz